



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Express MAIL LABEL ED 248637622 US**

Serial No.: 09/774,147

Applicant: James Martin Billings

Filed: 01/30/2001

Art Unit: 3624

Examiner: Narayanswamy Subramanian

Certificate of Mailing:

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Alexandria, Virginia 22313-1450

on December 3, 2005

James Addison Barry, Jr.

Signature:

A handwritten signature in black ink, appearing to read "James Addison Barry, Jr.", written over a horizontal line.

For: A METHOD AND SYSTEM FOR PROVIDING DOWNSIDE PROTECTION OF STOCK MARKET INVESTMENTS

Mail Stop Non-Fee Response  
Commissioner for Patents  
P.O. Box 1450  
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Sir:

In response to the Office Action Requirement for Information dated October 4, 2005, having a shortened-statutory response period extending through and including December 3, 2005, please consider the following remarks:

**Introductory Comments:**

This application has been carefully reviewed in light of the Office Action Requirement for Information dated October 4, 2005, wherein:

Applicant and the assignee of this application are required under 37 CFR 1.105 to provide the following information that the examiner has determined is reasonably necessary to the examination of this application.

(1) A copy of any non-patent literature, published application, or patent (U.S. or foreign), by any of the inventors, or information that was used in the invention process, such as by designing around or providing a solution to accomplish an invention result. In response to this requirement, please provide the title, citation and



12-05-05

3624

JFW

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

45

Application Number

09/774,147

Filing Date

01/30/01

First Named Inventor

James Martin Billings

Art Unit

3624

Examiner Name

Narayanswamy Subramanian

Attorney Docket Number

SSL

**ENCLOSURES (Check all that apply)**

Fee Transmittal Form



Fee Attached



Amendment/Reply 5 pages



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)



Reply to Missing Parts/Incomplete Application



Reply to Missing Parts under 37 CFR 1.52 or 1.53



Drawing(s)



Licensing-related Papers



Petition



Petition to Convert to a Provisional Application



Power of Attorney, Revocation



Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_

☐ Landscape Table on CD

Remarks



After Allowance Communication to TC



Appeal Communication to Board of Appeals and Interferences



Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)



Proprietary Information



Status Letter



Other Enclosure(s) (please identify below):

One--Computer CD with prototype

Installation &amp; Instructions for prototype--40 pages

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

James Addison Barry, Jr.

Signature

Printed name

James Addison Barry, Jr.

Date

3 December 2005

Reg. No.

44,524

**CERTIFICATE OF TRANSMISSION/MAILING****EXPRESS MAIL LABEL****ED 2486 376 22 45**

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James Addison Barry, Jr.

Date

3 December 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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